

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

004501-777

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/500887**

INTERNATIONAL APPLICATION NO.

PCT/CH03/00018

INTERNATIONAL FILING DATE

15 January 2003 (05.01.2003)

PRIORITY DATE CLAIMED

29 January 2002 (29.01.2002)

## TITLE OF INVENTION

SECURING DEVICE FOR A TURBOCHARGER (As Amended)

## APPLICANT(S) FOR DO/EO/US

BATTIG, Josef

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission to items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 21 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: General Authorization for Petitions for Extensions of Time and Payment of Fees; Application Data Sheet
21. ☒ Applicant(s) requests that the published application include the following assignment information: ABB Turbo Systems AG, Baden, Switzerland

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/500887</div>	INTERNATIONAL APPLICATION NO. PCT/CH03/00018	ATTORNEY'S DOCKET NUMBER 004501-777
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22. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
 and International Search Report not prepared by the EPO or JPO . . . . . \$1,080.00 (1611)

International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search Report prepared by the EPO or JPO . . . . . \$920.00 (1613)

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
 but International search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . \$770.00 (1610)

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . . \$730.00 (1609)

International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . . \$100.00 (1612)

  

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00 (1617)** for furnishing the oath or declaration later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims	13	-20 =	0	X \$18.00 (1615)
Independent Claims	1	-3 =	0	X \$86.00 (1614)
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00 (1616)
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 920.00</b>

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
 are reduced by 1/2. + \$ 0.00

**SUBTOTAL =** **\$ 920.00**

Processing fee of **\$130.00 (1618)** for furnishing the English translation later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ 0.00

**TOTAL NATIONAL FEE =** **\$ 920.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00 (8021)** per property + \$ 40.00

**TOTAL FEES ENCLOSED =** **\$ 960.00**

Amount to be  
refunded :

charged :

**CALCULATIONS PTO USE ONLY**

  

a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \_\_\_\_\_ to cover the above fees. A  
 duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
 Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

d. ☒ Charge \$ 960.00 to credit card. Form PTO-2038 is attached.

  

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)  
 or (b)) must be filed and granted to restore the application to pending status.**

  

SEND ALL CORRESPONDENCE TO:

SIGNATURE  
 \_\_\_\_\_  
 Robert S. Swecker  
 NAME  
 \_\_\_\_\_  
 19,885      July 7, 2004  
 REGISTRATION NO.      DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Josef Battig

Group Art Unit:

Application No.:

Examiner:

Filing Date: July 7, 2004

Confirmation No.:

Title: SECURING DEVICE FOR A TURBOCHARGER (As Amended)

GENERAL AUTHORIZATION FOR PETITIONS  
FOR EXTENSIONS OF TIME AND PAYMENT OF FEES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.136(a)(3), the U.S. Patent and Trademark Office is hereby provided with a general authorization to treat any concurrent or future reply requiring a petition for an extension of time for its timely submission as containing a request therefor for the appropriate length of time.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By



Robert S. Swecker

Registration No. 19,885

Date: July 7, 2004

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